

PTO/SB/21(08/00)

Approved for use through 19/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/853,770

Filing Date May 11, 2001

First Named Inventor Satoshi Shigematsu

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

11

Attorney Docket Number 96790P355

ENCLOSURES (check all that apply)☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☒ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication
to Group☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below):Priority Document Submittal;
return postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Eric S. Hyman, Reg. No. 30,139

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Signature

Date

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7-23-01

Typed or printed name

Melissa Stead

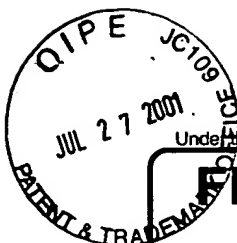
Signature

Melissa Stead

Date

7-23-01

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03CO

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

| | |
|------------------------|----------------------------|
| Application Number | 09/853,770 |
| Filing Date | 05/11/01 |
| First Named Inventor | Satoshi Shigematsu, et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket Number | 96790P355 |

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|------------------------|----------|
| 101 | 201 | 710 | 355 | Utility filing fee | |
| 106 | 206 | 320 | 160 | Design filing fee | |
| 107 | 207 | 490 | 245 | Plant filing fee | |
| 108 | 208 | 710 | 355 | Reissue filing fee | |
| 114 | 214 | 150 | 75 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. CLAIMS

| Total Claims | Extra | Fee from below | Fee Paid |
|--------------|-------|----------------|----------|
| 93 | | | |
| 18 | | | |

Multiple Dependent Claims

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description |
|-----------------------|-----------------------|----------|----------|---|
| 103 | 203 | 18 | 9 | Claims in excess of 20 |
| 102 | 202 | 80 | 40 | Independent claims in excess of 3 |
| 104 | 204 | 270 | 135 | Multiple Dependent claim |
| 109 | 209 | 80 | 40 | Reissue independent claims over original patent |
| 110 | 210 | 18 | 9 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

*or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | Surcharge - late filing fee or oath | |
| 127 | 227 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 139 | 130 | 130 | Non-English specification | |
| 147 | 147 | 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 112 | 920 | 920 | Requesting publication of SIR prior to Examiner action | |
| 113 | 113 | 1,840 | 1,840 | Requesting publication of SIR after Examiner action | |
| 115 | 215 | 110 | 55 | Extension for response within first month | |
| 116 | 216 | 390 | 195 | Extension for response within second month | |
| 117 | 217 | 890 | 445 | Extension for response within third month | |
| 118 | 218 | 1,390 | 695 | Extension for response within fourth month | |
| 128 | 228 | 1,890 | 945 | Extension for response within fifth month | |
| 119 | 219 | 310 | 155 | Notice of Appeal | |
| 120 | 220 | 310 | 155 | Filing a brief in support of an appeal | |
| 121 | 221 | 270 | 135 | Request for oral hearing | |
| 138 | 138 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 240 | 110 | 55 | Petition to revive - unavoidably | |
| 141 | 241 | 1,240 | 620 | Petition to revive - unintentionally | |
| 142 | 242 | 1,240 | 620 | Utility issue fee (or reissue) | |
| 143 | 243 | 440 | 220 | Design issue fee | |
| 144 | 244 | 600 | 300 | Plant issue fee | |
| 122 | 122 | 130 | 130 | Petitions to the Commissioner | |
| 123 | 123 | 50 | 50 | Petitions related to provisional applications | |
| 126 | 126 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 581 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 246 | 710 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 249 | 710 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 279 | 710 | 355 | Request for Continued Examination (RCE) | |
| 169 | 169 | 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

SUBTOTAL (3) (\$)

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

| | | | |
|-----------------------|--------------------------------|-------------------------|---------|
| Typed or Printed Name | Eric S. Hyman, Reg. No. 30,139 | Reg. Number | |
| Signature | | Date | 7/23/01 |
| | | Deposit Account User ID | 02-2666 |

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